



Sheet 1 of 1

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|--|--------------------------------|----------------------------------|--------------------------|
| FORM PTO-1449                                    | U.S. DEPARTMENT OF<br>COMMERCE | ATTY. DOCKET NO.<br>0185418      | SERIAL NO.<br>09/990,123 |
| PATENT AND<br>TRADEMARK OFFICE                   |                                | APPLICANT<br>Dipiero, et al.     |                          |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT |                                | FILING DATE<br>November 21, 2001 | GROUP<br>3627            |
| (Use several sheets if necessary)                |                                |                                  |                          |

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE       | NAME          | CLA<br>SS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|--------------------|------------|---------------|-----------|----------|-------------------------------|
| /VF/                | 2002//0184148      | 12-05-2002 | Kahn et al.   |           |          |                               |
| /VF/                | 2002/0169701       | 11-14-2002 | Tarbox et al. |           |          |                               |
| /VF/                | 5,976,082          | 11-2-1999  | Wong, et al.  |           |          |                               |

## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
|--|--------------------|------|---------|-------|----------|-------------|
|  |                    |      |         |       |          | YES NO      |

## OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

|      |  |   |
|------|--|---|
|      |  | AN EBRI SPECIAL REPORT AND ISSUE BRIEF NUMBER 124, March 1992; pp. 1-37   |
| /VF/ |  | Sourbeer, James N., "BROKER WORLD", Praire Village, March 1990, Vol. 10, ISS.3; pg. 36, 3 pgs., <a href="http://proquest.umi.com/pqdweb?index=0&amp;did=998138&amp;SrchMode=1&amp;sid=3&amp;Fmt=2&amp;Vin...,8/17/2006">http://proquest.umi.com/pqdweb?index=0&amp;did=998138&amp;SrchMode=1&amp;sid=3&amp;Fmt=2&amp;Vin...,8/17/2006</a> |
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EXAMINER /Vanel Frenel/

DATE  
CONSIDERED 05/26/20

EXAMINER: Initial citation considered. Draw line through citation if not in the conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)